



INTERNATIONAL EXPORT COMPLIANCE COURSE
for University, Academia, Research
Academic year 2021-2022

Summary Information

Registration Deadline	Until the registered quota is reached and no later than October 30st, 2021
Start	Mid November 2021
Duration	End of first quarter of 2022
Attendance	40 hours class / 45 hours individual study
Total hours	85
Quotas / Limits	minimum 15 / maximum 40 Applicants

The new EU Dual Use Regulation 821/21 translates for the first time specific references to academia and research and technology transfer activities, the rights and obligations that apply in this area.

It also introduces the ICP (Internal Compliance Program) as a tool for the management of legal risks arising from non-compliance with the Regulation.

The Course is aimed at vice chancellors, legal and compliance departments, professors, scientists and scientific staff of university research institutions, students and professionals who do not leave services to universities.

The Course is the result of the collaboration with some of the main U.S. and European universities with already active Export Compliance functions, together with the work carried out by EIFEC experts in the definition and revision of Guidelines prepared by the Technical Expert Group on EU Compliance Guidelines for Research Organizations (TEG-Research of EU Dual Use Coordination Group).

Format

Due to the ongoing pandemic, the Course's program will be held interactively, virtually via WebEx and EIFEC's e-learning platform. We are adapting to the "new normal" and are working to make the Course's program of great interest. We regret that we will not be able to meet in person, but we hope you will find the program engaging and of high quality. Stay safe and continue to look forward to post-covid.



The Course in short

Export Compliance provides critical support to organizations of any size in the management of Compliance Risk, which is the risk of legal or regulatory sanctions, financial losses or deterioration of the reputation for failing to comply with laws, regulations and legislation, codes of conduct and best practices (Dual Use, Penalties, Decree No. 231)

The Course allows to learn how to check, manage, and control the risks arising from a failure or incorrect compliance with the rules that govern all activities of import and export of goods and / or services, tangible and intangible assets including the transfer of means of payment, which in some way are subject to the rules applicable to transactions between two different states / jurisdictions

In the past, the control activity was focused on the risk that the dual-use items (Dual Use) could be used for unlawful purposes; today are added new risks for breach of sanctions, embargoes, restrictions both of a financial product. (Iran, Syria, Russia, etc.).

The penalties, both administrative and criminal, now to be applied in the event of infringement of the laws can be very heavy. (Legislative Decree 231/2001, 428/09, 267/12)

The governments of the EU Member States rely on proper compliance by exporters and operators to ensure that all laws / regulations are complied with.

The security of EU member states is based on the ability of its exporters and operators to be able to run their businesses in compliance with the norms and International Agreements to ensure international security, safeguarding the competitiveness of enterprises.

The review of the system of export control launched by the authorities in the EU and US calls for a new specialization for those who, in public or private works in the field.

The course aims to deepen and learn practical methodological systems of management, audit and control (Export Compliance) in line with best practices developed in EU / USA and the EU Framework of Export Compliance, such as:
Internal Compliance Program (ICP) / ECAP
Evaluation and classification of the tangible and intangible assets.
Identification of risks and their management
Management of the export process from order to delivery.
Enhanced Due diligence



Course Content

- Basic Principles of Compliance Specialized for Export/ Trade Compliance
- Overview of EU and National Export Laws / Jurisdiction / Regulations / Key Terms
- International dynamics of WMD proliferation and counterproliferation frameworks
- EU-ECF - European Export Compliance Framework: Principles and Operational Tools
- EU Customs System
- New EU Dual Use Regulation 821/21
- National Export Control Authorities / Policy / Area of Intervention
- Financial Sanctions / Embargoes / Restrictive Measures (EU/ US)
- Trade / Export Finance
- Enhanced Due Diligence (Iran, Russia, etc.) / AML CTF
- DUAL USE (with integration of US Regulations)
- Export Control USA (BIS) and other countries
- Application methodologies of planning, management (including problem solving) of Export Compliance in business and institutional realities in support of economic operators
- Export Compliance Administration Program (ECAP) - Internal Compliance Program (ICP)
- EU guidance on internal compliance programs for dual-use trade controls
- Risks to academia and research institutions in intangible technology transfer
- Sanctions and their applicability
- Red Flags: How to recognize students, researchers, and faculty attempting dual-use technology diversion
- Sanctions and their applicability
- D.Lgs.231/2001 and codes of conduct
- Case Analysis

Learning Materials

Materials will be provided for each lesson.

Complex Learning

Students will have access to the EIFEC digital platform and the related lesson materials. Within the platform you can share content and interact with the Faculty members.

Tutorship

Informational support and assistance will be provided.



Lessons schedule	<p>Will be communicated upon activation. This year, due to COVID restrictions, the lessons will take place in a virtual classroom, via WebEx and the EIFEC e-learning platform.</p> <p>Outline Schedule</p> <p>weekend formula, Saturday and Sunday the lessons will be video-recorded and available in the platform for rehearsal Since Export Compliance is a very lively subject and with new developments in progress, the schedule may undergo changes to keep pace with the changing geopolitical scenarios</p>
Final Evaluation	Project Work.
Attendance Certification	EIFEC Course Certificate
EIFEC Accreditation	<p>The Course Certificate allows the accreditation by EIFEC as Export Compliance Officer.</p> <p>The Accreditation give title to perform activities in Export Compliance as part of the Export Compliance Framework. In the case of attendance at the BIS Training in the USA, the qualification will be extended.</p>
Requirements for access	<ul style="list-style-type: none">• Undergraduate degrees
Selection procedures	Applications will be evaluated and accepted by the Board of Examiners based on chronological order, on Curriculum and motivations.
Enrolment Fees	<p>185,00 €* / 1.200,00€ *For University personell only. The Course fees are set at the special rate of 185,00€ in consideration of the support of UNIFEC network.</p> <p>For all others Applicants the Course fees amount to 1.200,00€</p>
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Contacts	
Reference	Dr. Enrico Musso / Dott.ssa. Da Silva
Web Site	unifec.org